IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Abel Mercado

Serial No.: Not available Filed: November 12, 2003 Group No.: Examiner:

Not available Not available

For: Drain Plunger Handle

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

> TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Reg. No. 39,014

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CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

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INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- 2. Forms PTO/SB/08A (substitute for Form PTO-1449)
- 3. Copies of Listed Information Items Accompanying This Statement
- 4. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

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Richard A. Ryan, PTO #39,014

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Date: 11/12/2003

(Information Disclosure Statement—page 1 of 4)

Section 1. Preliminary statements

Inventor: Abel Mercado

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A (substitute for Form PTO-1449)

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	Substitute for form 1449/PTO				Complete if Known		
					Application Number		
	INFORMATION DISCLOSURE				Filing Date	November 12, 2003	
					First Named Inventor	Abel Mercado	
	Si	FATEMENT I			Art Unit		
		(Use as many sh	eets as	necessary)	Examiner Name		
$\overline{}$	Sheet		of	_	Attorney Docket Number	RAR468.01	

		-		DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code ^{2 (if known)}			. Figures Appear	
	1	^{US-} 6,550,074-B1	04-22-2003	Allenbaugh, et al.		
	2	^{US-} 6,145,135	11-14-2000	Pool, et al.		
	3	^{US-} 6,035,455	03-14-2000	Rankovic		
	4	^{US-} 4,669,131	06-02-1987	Barlow		
	5	^{US-} D466,661-S	12-03-2002	Schmidt, et al.		
_	6	^{US-} D383,935	09-23-1997	Zawalsky		
	7	^{US-} Des 381,147	07-15-1997	Tash		
	8	^{US-} Des 381,146	07-15-1997	Tash		
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear			
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY			T ⁶		

Examiner		Date	
Signature		Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

Section 4. **Identification of Person Making This Information Disclosure Statement**

The person making this certification is the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

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